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Atty. Dkt. No.: 9D-RG-19209

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicant: Steven Gray :
Serial Number: 09/993,783 : Group Art Unit: 2837
Filed: November 14, 2001 : Examiner: Ro, Bentsu
For: DC MOTOR SPEED CONTROL SYSTEM

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: EV298647083US

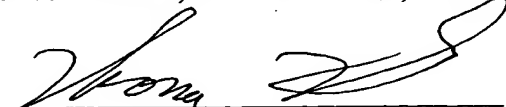
Date of Mailing: May 24, 2004

I certify that the documents listed below:

**Certificate
OCT 24 2005
of Correction**

- Certificate of Express Mailing (1 pg.)
- Issue Fee Transmittal (1 pg. in duplicate)
- Supplemental Declaration and Power of Attorney executed by Steven James Gray and Wolfgang Daum
- Supplemental Declaration and Power of Attorney executed by John Steven Holmes (3 pgs.)
- Supplemental Declaration and Power of Attorney executed by Rollie Richard Herzog (3 pgs.)
- Supplemental Declaration and Power of Attorney executed by Mark Robert Mathews (3 pgs.)
- Return Post Card

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to: Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Thomas M. Fisher Reg. No.: 47,564
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102-2740
(314) 621-5070

OCT 25 2005



THE UNITED STATES PATENT OFFICE IS REQUESTED TO IMPRESS ITS STAMP ON THIS CARD AND PLACE SAME IN THE OUTGOING MAIL TO SHOW THE FOLLOWING PAPERS HAVE BEEN RECEIVED.

Atty Dkt. No.: 9D-HR-19209 (13307-73)
Applicant: Steven Gray
Serial No.: 09/993,783
Filed: November 14, 2001
For: DC MOTOR SPEED CONTROL SYSTEM
Enclosed:
Certificate of Express Mailing (1 pg.)
Issue Fee Transmittal (1 pg. in duplicate)
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Return Postcard

TMF/lcd
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Date: 07/06/04
By: km

OCT 25 2005



PATENT
123733


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent No.: 6,762,577 B2
Issued: July 13, 2004
Inventors: Gray et al.
Assignee: General Electric Company
For: DC MOTOR SPEED CONTROL
SYSTEM

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CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450., on October 17, 2005.


Phillip A. Shipley
Reg. No. 51,357

Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

REQUEST FOR CERTIFICATE OF CORRECTION OF
PATENT UNDER 37 C.F.R. 1.322(a)

Sir:

Attached, in duplicate, is Form PTO/SB/44 with one copy being suitable for printing.

IN THE INVENTORS

Submitted herewith is a copy of a Supplemental Declaration and Power of Attorney executed by Steven James Gray and Wolfgang Daum dated May 24, 2004 and mailed with the Issue Fee Transmittal for the above-identified patent. Therefore, Applicants respectfully request a certificate of correction for the following:

In the Inventors, delete "Steven Gray, Prospect, KY" and insert therefor -- Steven James Gray, Erie, PA --.

OCT 25 2005

In the Inventors, after "Wolfgang Daum," delete "Louisvillie, KY" and insert therefor
-- Erie, PA --.

IN THE CLAIMS


In Claim 20, column 9, line 5, delete "increasing" and insert therefor -- decreasing --.

The corrections are not due to any error by applicants and no fee is due.

The Assignment for this patent is recorded on Reel 012654/ Frame 0259.

Respectfully submitted,

Date: 10/17/05



Phillip A. Shipley
Reg. No. 51,357
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
(314) 621-5070

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO : 6,762,577 B2

DATED : July 13, 2004

INVENTORS(S) : Gray et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Inventors, delete "Steven Gray, Prospect, KY" and insert therefor -- Steven James Gray, Erie, PA --.

In the Inventors, after "Wolfgang Daum," delete "Louisvillie, KY" and insert therefor -- Erie, PA --.

In Claim 20, column 9, line 5, delete "increasing" and insert therefor -- decreasing --.

MAILING ADDRESS OF SENDER:

Phillip A. Shipley
Armstrong Teasdale LLP
One Metropolitan Sq., Suite 2600
St. Louis, MO 63102

PATENT NO. 6,762,577 B2

No. of additional copies

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OCT 25 2005



PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

03/22/2004

John S. Beulick
Armstrong Teasdale LLP
Suite 2600
One Metropolitan Sq.
St. Louis, MO 63102

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/993,783	11/14/2001	Steven Gray	9D-HR-19209	8847

TITLE OF INVENTION: DC MOTOR SPEED CONTROL SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	06/22/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
RO, BENTSU	2837	318-268000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

H. Neil Houser

Armstrong Teasdale I

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

General Electric Company

Schenectady, NY 12345

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 01-2384 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

Thomas M. Fisher

05-24-2004

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)



SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
9D-HR-19209

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if several names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **DC MOTOR SPEED CONTROL SYSTEM**,
the specification of which:

(check one) ☐ is attached hereto.
☒ was filed on Nov. 14, 2001 as Application Serial No. 09/993,783,
and was amended on Feb. 26, 2004, Oct. 01, 2003 and May 21, 2003

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 (a)-(d) or (f) or 365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED	CERTIFIED COPY ATTACHED
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No
				<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. PARENT APPLICATION OR PCT PARENT NUMBER	PARENT FILING DATE (day, month, year)	STATUS (patent and number, pending, abandoned)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER(S)	FILING DATE (day, month, year)

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
9D-HR-19209

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) jointly, and each of them severally, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith.

H. Neil Houser (Reg. No. 28,859) and George L. Rideout, Jr. (Reg. No. 43,880), all of General Electric Company, Appliance Park 2-225, Louisville, KY 40225; Henry J. Policinski (Reg. No. 26,621), Scott R. Hayden (Reg. No. 41,821), and Michael Gnibus (Reg. No. 38,162), of General Electric Company, 3135 Easton Turnpike, Fairfield, CT 06828; John S. Beulick (Reg. No. 33,338), Patrick W. Rasche (Reg. No. 37,916), Dean D. Small (Reg. No. 34,730), Robert B. Reeser III (Reg. No. 45,548), Thomas M. Fisher (Reg. No. 47,564), Bruce T. Atkins (Reg. No. 43,476), Daniel M. Fitzgerald (Reg. No. 38,880), Michael Tersillo (Reg. No. 42,180), William J. Zychlewicz (Reg. No. 51,366), Rozell Williams, Jr., (Reg. No. 44,403), Evan R. Sotiriou (Reg. No. 46,247), Alan L. Cassel (Reg. No. 35,842), and Jay J. Hoette (Reg. No. 50,666); all of Armstrong Teasdale LLP, One Metropolitan Square, St. Louis, MO 63102.

Send Correspondence to:
John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102

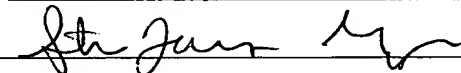
Direct Telephone Calls To:

John S. Beulick
314-621-5070

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, statements were made with the knowledge that willfully false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that all such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: Steven James Gray

Signature: 

Date: April 26, 2004

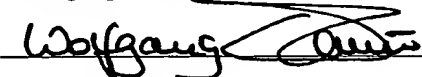
Residence: Erie, Pennsylvania

Citizenship: United States of America

Post Office Address: 4375 Winners Circle, Erie, PA 16506

SECOND JOINT INVENTOR, IF ANY:

Full Name: Wolfgang Daum

Signature: 

Date: April 26th, 2004

Residence: Erie, Pennsylvania

Citizenship: United States of America

Post Office Address: 5228 Annendale Drive, Erie, PA 16506

SUPPLEMENTAL DECLARATION AND POWER OF ATTORNEY

Attorney Docket No.
9D-HR-19209

THIRD JOINT INVENTOR, IF ANY:

Full Name: John Steven Holmes

Signature: _____ Date: _____

Residence: Sellersburg, Indiana

Citizenship: United States of America

Post Office Address: 8329 Plum Valley Drive, Sellersburg, IN 47172

FOURTH JOINT INVENTOR, IF ANY:

Full Name: Rollie Richard Herzog

Signature: _____ Date: _____

Residence: Louisville, Kentucky

Citizenship: United States of America

Post Office Address: 9902 Winged Foot Court, Louisville, KY 40223

FIFTH JOINT INVENTOR, IF ANY:

Full Name: Mark Robert Mathews

Signature: _____ Date: _____

Residence: Lombard, Illinois

Citizenship: United States of America

Post Office Address: Zero South 700 Addison Avenue, Lombard, IL 60148